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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| Application Number | 09/896,882 |
|------------------------|-------------------------------|
| Filing Date | 06-29-2001 |
| First Named Inventor | Sriram M. Bhamidipati, et al. |
| Group Art Unit | Not Yet Assigned |
| Examiner Name | Not Yet Assigned |
| Attorney Docket Number | 42390P11424 |

| Total Number of Pages | in This Submission | Attorney Docket Number | er 42390P11424 | | |
|---|-----------------------------|--|---|--|--|
| | | ENCLOSURES (check | k all that apply) | | |
| Fee Transmittal Form | | Assignment Papers (for an Application) | After Allowance Communication to Group | | |
| Fee Attached | X | Formal Drawing(s) - 5 sheets | Appeal Communication to Board of Appeals and Interferences | | |
| Amendment / Reply | | Licensing-related Papers | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | |
| After Final | | Petition | Proprietary Information | | |
| Affidavits/declaration | (s) | Petition to Convert to a Provisional Application | Status Letter | | |
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| Certified Copy of Priority Document(s) | Rem | narks | | | |
| Response to Missing Parts/ Incomplete Application | | se charge any insufficiencies, eposit Account No 02-2666. | if any, or credit any overpayments | | |
| Response to Missing under 37 CFR 1.52 o | Parts | opook/ localik No 02 2000. | | | |
| | SIGNATURE O | F APPLICANT, ATTORNEY, OR | AGENT | | |
| | y S. Schubert No. 43,098 | | | | |
| Signature | hand, Do | hld | | | |
| Date Sec | Henber G | .2001 | | | |
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| Typed or printed name | lana Wallin | /): | , | | |
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PTO/SB/17 (11-00)

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TRANSMITTAL for FY 2001

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| Complet if Known | | | | |
| Application Number | 09/896,882 | | | |
| Filing Date | 06-29-2001 | | | |
| First Named Inventor | Sriram M. Bhamidipati, et al. | | | |
| Examiner Name | Not Yet Assigned | | | |
| Group Art Unit | Not Yet Assigned | | | |
| Attorney Docket No. | 42390P11424 | | | |
| | | | | |

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | |
|---|--|----------|--|--|
| 1. The Commissioner is hereby authorized to charge indicated fees and credit any overnaments to | 3. ADDITIONAL FEES | | | |
| indicated fees and credit any overpayments to: Deposit | Large Small Entity Entity | | | |
| Account Number 02-2666 | Fee Fee Fee Fee Description | Fee Paid | | |
| Deposit Account Blakely Sokoloff Taylor & Zafman | Code (\$) Code (\$) 105 130 205 65 Surcharge - late filing fee or oath | 130.00 | | |
| Name Blakely Sokoloff Taylor & Zafman | l ' ' | | | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | - | | |
| Applicant claims small entity status. | 139 130 139 130 Non-English specification | | | |
| See 37 CFR 1.27 | 147 2,520 147 2,520 For filing a request for ex parte reexamination | | | |
| 2. Money Other | 112 920* 112 920* Requesting publication of SIR prior to Examiner action | | | |
| FEE CALCULATION | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | | | |
| 1. BASIC FILING FEE | 115 110 215 55 Extension for reply within first month | | | |
| Large Entity Small Entity | 116 390 216 195 Extension for reply within second month | | | |
| Fee Fee Fee Fee Description | 117 890 217 445 Extension for reply within third month | | | |
| Code (\$) Code (\$) Fee Paid 101 710 201 355 Utility filing fee | 118 1,390 218 695 Extension for reply within fourth month | | | |
| 106 320 206 160 Design filing fee | 128 1,890 228 945 Extension for reply within fifth month | | | |
| 107 490 207 245 Plant filing fee | 119 310 219 155 Notice of Appeal | | | |
| 108 710 208 355 Reissue filing fee | 120 310 220 155 Filing a brief in support of an appeal | | | |
| 114 150 214 75 Provisional filing fee | 121 270 221 135 Request for oral hearing | | | |
| · | 138 1,510 138 1,510 Petition to institute a public use proceeding | | | |
| SUBTOTAL (1) (\$) | 140 110 240 55 Petition to revive - unavoidable | | | |
| 2. EXTRA CLAIM FEES | 141 1,240 241 620 Petition to revive - unintentional | | | |
| Extra Claims below Fee Paid | 142 1,240 242 620 Utility issue fee (or reissue) | | | |
| Total Claims20** = X = | 143 440 243 220 Design issue fee | | | |
| Independent - 3** = X = X | 144 600 244 300 Plant issue fee | | | |
| Multiple Dependent | 122 130 122 130 Petitions to the Commissioner | | | |
| | 123 130 123 130 Petitions related to provisional applications | | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 126 180 126 180 Submission of Information Disclosure Stmt | | | |
| Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 | 581 40 581 40 Recording each patent assignment per property (times number of properties) | | | |
| 102 80 202 40 Independent claims in excess of 3 | 146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a)) | | | |
| 104 270 204 135 Multiple dependent claim, if not paid 109 80 209 40 ** Reissue independent claims | 149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b)) | | | |
| over original patent 110 18 210 9 ** Reissue claims in excess of 20 | 179 710 279 355 Request for Continued Examination (RCE) | | | |
| and over original patent | 169 900 169 900 Request for expedited examination of a design application | | | |
| SUBTOTAL (2) (\$) | Other fee (specify) | | | |
| **or number previously paid, if greater; For Reissues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 13 | 30.00 | | |

Complete (if applicable) SUBMITTED BY Registration No. Telephone Name (Print/Type) 43,098 (512) 330-0844 Jeffrey Si Schübert (Attorney/Agent) 200 Signature

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United States Patent A



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/896,882

06/29/2001

Sriram M. Bhamidipati

42390P11424

008791 **BLAKELY SOKOLOFF TAYLOR & ZAFMAN** 12400 WILSHIRE BOULEVARD, SEVENTH FLOOR LOS ANGELES, CA 90025

CONFIRMATION NO. 1020 FORMALITIES LETTER *OC000000006460209*

Date Mailed: 08/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/13/2001 BNGUYEN1 00000140 09896882

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